

Confidential

Financial Risk Analysis Questionnaire

Client: _____

***Economist:** _____

Date: _____

*Should you have any questions or need assistance in the completion of this form, please contact the FAMC staff economist who has been assigned to you.



2900 S. College Ave. Fort Collins, CO 80525

FINANCIAL RISK ANALYSIS QUESTIONNAIRE

DATE OF STATEMENT: _____

Personal:

1. Client: _____ 2. Occupation: _____
 3. Address: _____
 4. Business Telephone: _____ 5. Home Telephone: _____ 6. Date of Birth: _____
 7. Spouse's Name: _____ 8. Spouse's Date of Birth: _____
 9. Number of Children: _____

Name(s)	Age	Financially Dependent? (Yes or No)	College Education? (Yes or No)	College Fund Amount \$

10. Do you have a will? _____

11. If yes, describe _____

12. Does your spouse have a will? _____

13. If yes, describe _____

14. Are there any existing trusts? _____ (attach copies if possible)

Financial:

1. What is your taxable income as shown on your last federal tax return? \$ _____
 2. What was your federal income tax bracket last year? _____ %
 3. How much over and above your family's monthly living expenses are you able to save each month? \$ _____
 4. How much money would you want in a highly liquid state such as a savings account for an emergency or opportunity reserve? \$ _____
 5. What do you think your present portfolio is yielding annually? \$ _____
 6. Has there been some appreciate in addition to the yield? YES _____ NO _____
 7. In general, how well have your investments performed for you? _____

8. Do you have financial goals? YES _____ NO _____

9. What annual yield do you reasonably expect from your investment portfolio? _____ %

10. In addition to that, how much annual capital appreciation would you anticipate? _____

11. When do you plan to retire? _____ What retirement income do you desire? _____

12. Do you own any investments you would rather not sell? YES _____ NO _____

13. Does client or spouse anticipate any inheritances? _____ If so, the approximate amount \$ _____

14. Does any child have trust funds or own substantial amounts of property? YES _____ NO _____

15. Amount of income desired in event of disability \$ _____ /mo. Current Disability Income \$ _____

16. What is the estimated value of client's/spouse's estate? Client \$ _____ Spouse \$ _____

17. How would client like that estate to be disposed at his demise?

- _____ to spouse
 _____ to children or other heirs
 _____ to charity

18. Please provide brief state of your investment objectives _____

Assets	Cost	Current Value	Annual Income
1 Cash			
2 Savings Accounts			
3 Money Market Funds			
4 U.S. Government Securities			
5 Bank CD's			
6 Residence			
7 Secondary Residence			
8 Residential Real Estate			
9 Commercial Buildings			
10 Land (Commercial)			
11 Land & Improvements (Agricultural)			
12 U.S. Government & Agency Securities			
13 Common Stock (public) NYSE OTC			
14 Stock (Closely Held Corp.)			
15 Preferred Stock			
16 Gold Mining Shares			
17 Corporate Bonds			
18 Tax Free Bonds			
19 Annuities			
20 Tax Shelters			
21 Gold Mining Shares			
22 Silver			
23 Precious Gems			
24 Numismatics			
25 Other (Specify)			
Total			

Liabilities			
26 Current			
27 Long Term			
Total			

Life Insurance

Outlay

28 Permanent Life Insurance Policies			
29 Term (including group term)			

In terms of your assets directed to investment areas, please rate how you feel about the following financial concerns.

	Very Low	Low		Moderate		High		Very High	
Inflation Hedge	How concerned are you about having your savings dollars keep pace with inflation?								
	1	2	3	4	5	6	7	8	9
Leverage	To what extent are you comfortable with using borrowed money to make money in larger amounts than might be possible?								
	1	2	3	4	5	6	7	8	9
Safety	How concerned are you about being able to get back your own dollars during a depression or protracted recession?								
	1	2	3	4	5	6	7	8	9
Liquidity	How concerned are you that cash be available at once to meet emergencies or opportunities?								
	1	2	3	4	5	6	7	8	9
Diversification	How concerned are you about hedging against big losses by spreading your risks?								
	1	2	3	4	5	6	7	8	9
Professional Management	How desirable is it for you to use other men's professional know-how for your investing, leaving you free to concentrate on your own field?								
	1	2	3	4	5	6	7	8	9
Income Now	How concerned are you about getting maximum income from your savings and investments this year and next?								
	1	2	3	4	5	6	7	8	9

HARD CURRENCY ASSETS

In terms of tangible assets, please indicate your familiarity with or knowledge of the following:

	None	Very Low	Low		Moderate		High		Very High	
Gold Bullion	0	1	2	3	4	5	6	7	8	9
Gold Coins	0	1	2	3	4	5	6	7	8	9
Silver Bullion	0	1	2	3	4	5	6	7	8	9
Silver Coins	0	1	2	3	4	5	6	7	8	9
Numismatic Coins	0	1	2	3	4	5	6	7	8	9
Precious Gems	0	1	2	3	4	5	6	7	8	9
Platinum	0	1	2	3	4	5	6	7	8	9

FAMC, Inc.

2900 S. College Ave. Fort Collins, CO 80525
 970.223.4962 • 800.336.7000 • Fax: 970.223.4996